

# Responsiveness and interpretation – concepts and methods



Henrik Hein Lauridsen

## Overview

### What is responsiveness and interpretation?

#### Responsiveness

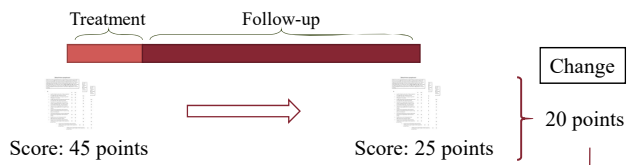
- Construct responsiveness
- Criterion responsiveness

#### Interpretation

- Minimal Important Change (MIC)
- Anchor-based MIC distribution method

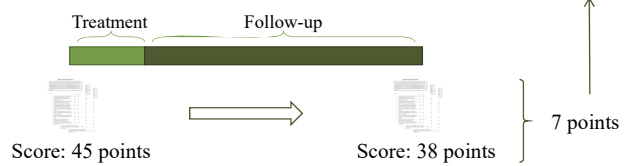
# Measuring change

## Treatment A



Is the measured change reproducible and valid?

## Treatment B



How do we interpret the scores?

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



**RESPONSIVENESS**



# Definition

## Responsiveness

*"The ability of an HR-PRO instrument to detect change over time in the construct to be measured"*

COSMIN, 2011

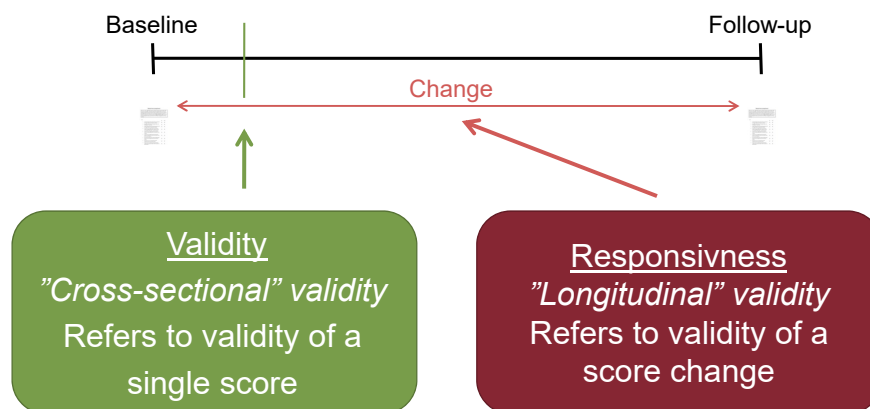
*"Målbarhed af en reel ændring"*

Bendix T., 2008

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



## Responsiveness versus validity



*Consequently, validity and responsiveness have similar standards*

Hays & Hardon, Qual Life Res 1992



# How do we measure responsiveness?

31 different measures of responsiveness  
6 different ways of grouping the measures

## Typically reported as

- P-values
- Effect sizes (ES, SRM, Guyatt's Responsiveness statistics etc.)
- Correlations

## Problems

- Many metodological problems → use with great care

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Distribution- versus anchor-based approaches

## Distribution-based methods

- Relates differences between baseline and follow-up scores to some measure of variability

## Anchor-based methods

- Relationship between a questionnaire and an independent measure (or anchor)
- Elucidate the meaning of a particular degree of change

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Distribution-based approaches

## Effect size (ES)

- Individual change in SD of pretest

$$ES = \frac{Score_{pretest} - Score_{posttest}}{SD_{pretest}}$$

## Standardized response mean (SRM)

- Individual change in SD of change

$$SRM = \frac{Score_{pretest} - Score_{posttest}}{SD_{change}}$$

## Guyatt's responsiveness statistics (GRS)

- Accounts for spurious changes arising from measurement error

$$GRS = \frac{Minimal\ important\ change}{SD_{change,stable}}$$

$$GRS = \frac{(Score_{pretest} - Score_{posttest})_{Improved\ pts.}}{SD_{change,stable}}$$

Crosby et al. Defining clinically meaningful change in health-related quality of life. 2002

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Problems with ES, SRM & GRS

## Is not a reflection of the 'true change'

- Possible reasons:
  - Instrument could have a ceiling effect
  - Instrument lack relevant items

## Highly dependent on variability (SD)

- High coefficients with small SD

Are measures of the *magnitude* and not the *validity* of change scores

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Two types of responsiveness

## Construct responsiveness

*The degree to which the change scores of a HR-PRO instrument are consistent with hypotheses*

- Tests hypotheses about expected change

## Criterion responsiveness

*The degree to which the change scores of a HR-PRO instrument are an adequate reflection of a 'gold standard'*

- Correlations
- Sensitivity/specificity
- Receiver Operating Characteristic (ROC) curves

Mokkink et al. (2010); Terwee et al. (2009)

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Construct responsiveness

Specify the hypotheses before collecting data

Eg.:

- Expected correlations between score changes and changes in other variables
  - Example: function and satisfaction with treatment
- Expected differences in score changes between groups
  - Example: severe depression versus mild depression
- Expected score changes after a treatment with known effect
  - Example: treatment of tension headache with NSAID

Terwee et al. (2009)

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Construct responsiveness

## Hypothesis

- Should be specific
  - **Direction** of the expected correlation/difference
  - **Size** of the expected correlation/difference
- Should be challenging
- The more hypotheses the better

Terwee et al. (2009)

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Construct responsiveness

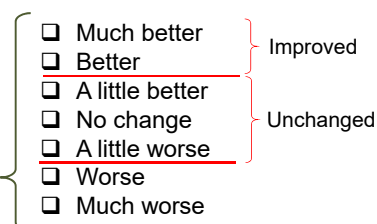
"Low Vision Quality of Life" questionnaire (LVQoL)

## 4 subscales

- Basic aspects
- Mobility
- Adjustment
- Reading

## Compared to

- VF-14
- Acuity
- Global change



De Boer et al. Qual Life Res 2006

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Construct responsiveness

## Hypotheses example

Correlation between score changes on LVQoL and global change is least 0.10 higher compared to the correlation between the score changes on LVQoL and acuity

## Results

LVQoL subscale	Global change		Change in acuity
Δ basic aspects	0.26	>	0.13
Δ mobility	0.26	>	0.08
Δ reading	0.18	>	0.07
Δ adjustment	0.20	>	0.04

4 out of 4 (100%) hypotheses confirmed

# Criterion responsiveness

Requires a 'gold standard' measuring change in the domain

Eg.

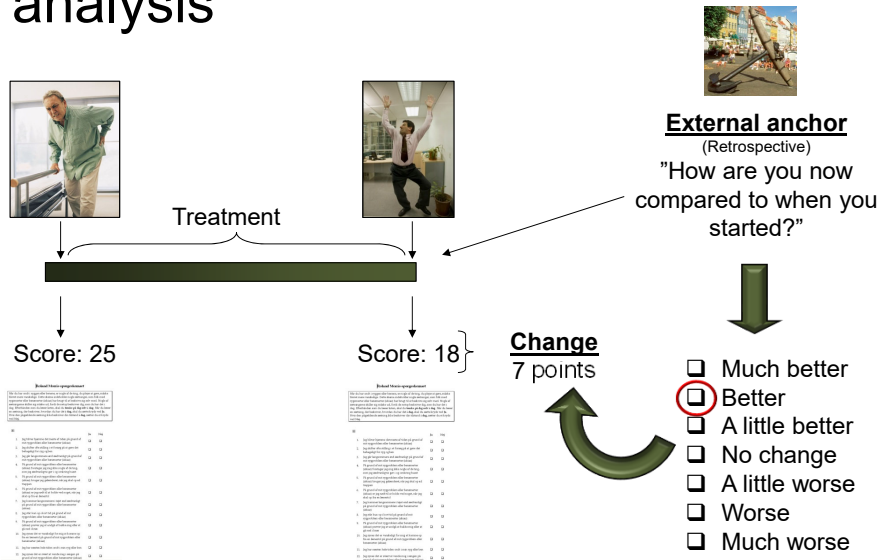
- Global change
- Change in a clinical variable

## Methods

- Correlation between a 'gold standard' and score change
- Sensitivity/specificity – dichotomous variable
- Receiver Operating Characteristic (ROC) curves



# ROC analysis



DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# The external anchor (transition question)

"How are you now compared to when you started?"

Recall bias	Present state bias	Motivational bias	Contamination bias	Pleasing bias
Problems remembering prior health status	Relate to how things are now and <i>not</i> to the change	Cumbersome treatment → Overestimation of the treatment response	Comorbidity contaminates the answer  Eg. LBP patient with headache	Patients wants to please the clinician

Norman et al., 1997; Hägg et al., 2002; Middle et al., 2006; Aseltine et al. 1995; Herrmann et al., 1995; Lauridsen et al. 2007



## Bias depends on time frame

### Follow-up < approx. 6 wks.

- Retrospective anchor  $\approx$  OK

### Follow-up > approx. 6 wks.

- Retrospective anchor biases

### Solution: 'The Punum Ladder'

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



## The Punum Ladder

Prospective anchor which avoids biases

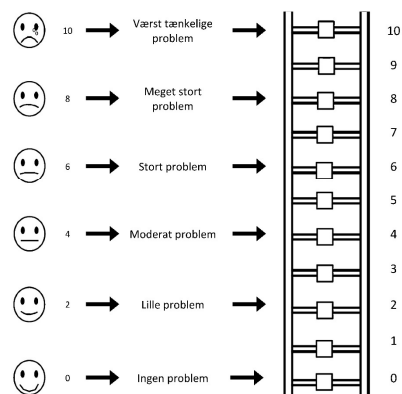
Score range: [0-10]

Applied to each dimension

Well validated:

- Validity
- Reproducibility
  - Reliability
  - Internal consistency
- Responsiveness

Sæt venligst et kryds på det 'trin i stigen' som bedst beskriver din overordnede livskvalitet (tilfredshed eller glæde ved livet) relateret til ... over den sidste uge.



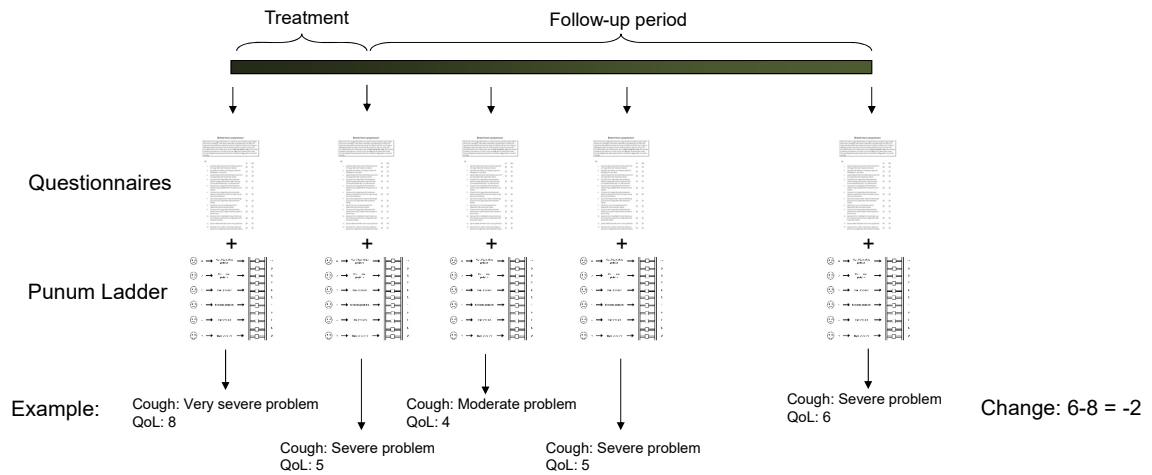
NB: Danish version has not been tested for cross-cultural validity

Fletcher et al, 2010

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Study design with Punum Ladder



Fletcher et al, 2010

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Dichotomisation

Change score ratings	Interpretation
-2, -1, 0, +1, +2	No change
$\leq -3$	Improvement
$\geq +3$	Deterioration

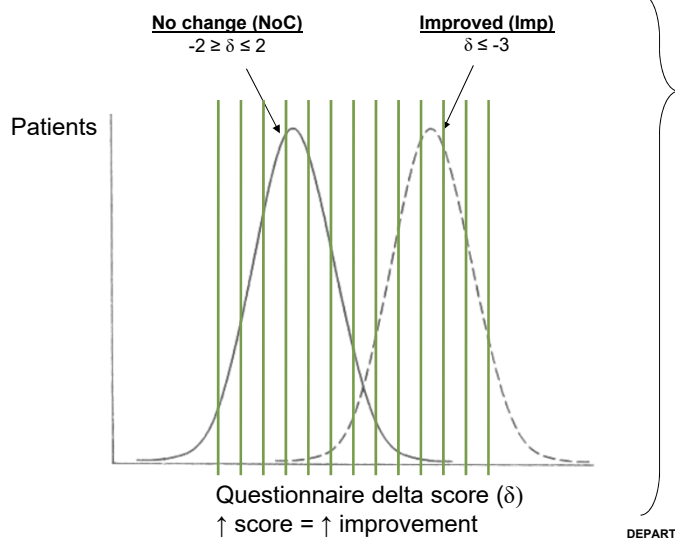
Fletcher et al, 2010

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS

# Sensitivity and specificity



	Anchor	
	Imp	NoC
Score $\geq \delta$	A	B
Score $< \delta$	C	D

**Sensitivity:  $A/(A+C)$**

True positive rate

**Specificity:  $D/(B+D)$**

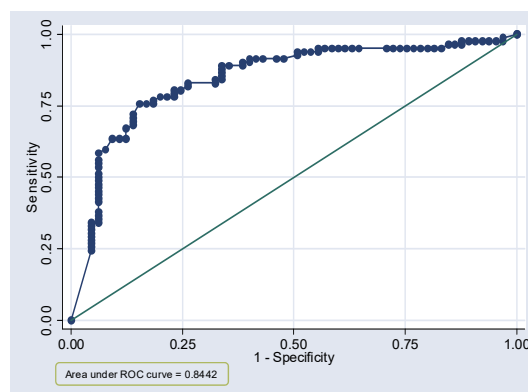
True negative rate

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# The ROC curve

Plots the true positive rate (sensitivity) against the false positive rate (1-specificitet)



Area under the curve = proportion of correctly identified patients, who truly have improved = responsiveness

$ROC_{auc} = 0.50 \rightarrow$  unresponsive

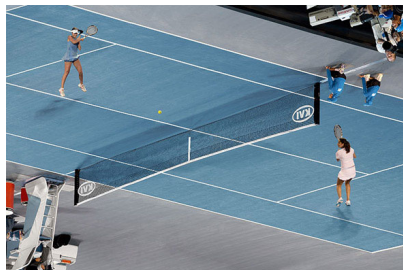
DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Questions?



DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



INTERPRETATION OF A SCORE CHANGE



# Interpretation

## Definition

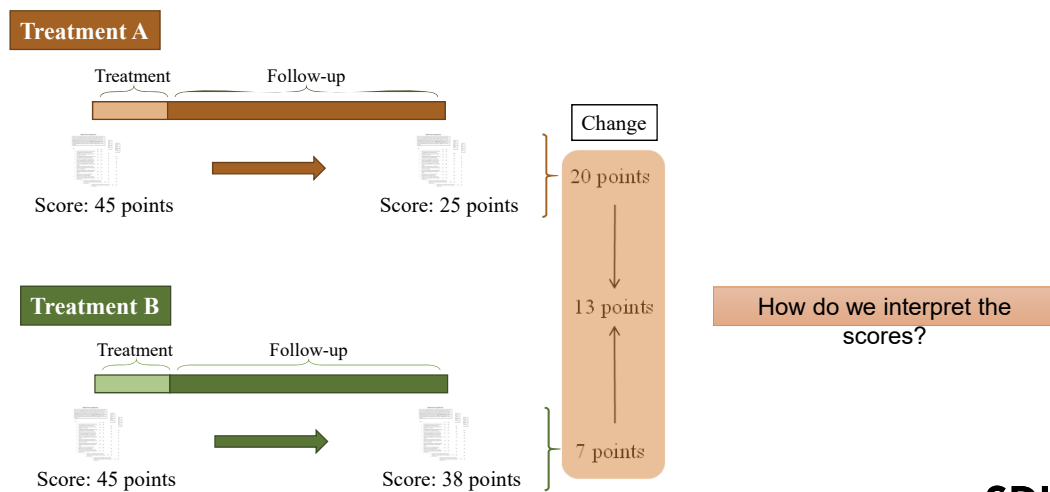
*"The degree to which one can assign qualitative meaning - that is, clinical or commonly understood connotations – to an instrument's quantitative scores or change in scores"*

Cosmin 2011

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Interpretation



DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Minimal Important Change (MIC)

## Definition

*“The smallest change in score in the construct to be measured which patients perceive as important”*

Mokkink et al., 2010

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Minimal Important Change

## MID/MIC/MCID/MCIC

MI = Minimal important

MCI = Minimal clinically important

D = difference

C = change

Change: **within** persons/groups

Difference: **between** persons/groups

### Two main approaches:

1. Anchor-based MIC distribution method
2. Predictive modelling method

van der Roer et al., Spine (2006); De Vet et al., Health Qual Life Out (2006); Teruijn et al., J. Clin. Epid. (2015)

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



# Anchor-based MIC distribution method

Graphical method to determine the best MIC interval

- Uses a global anchor:
  - Transition question method – retrospective
  - Punum Ladder method - prospective

Can be made for an 'important improvement' and 'important deterioration'

5-step process

de Vet et al. (2007)

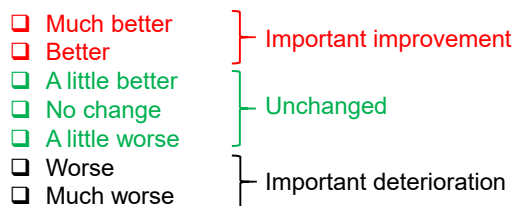


## Step 1-2

1. Choose a global anchor (transition question)
2. Define an 'important change'

### Transition question method

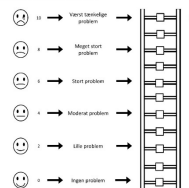
"How are you now compared to when you started?"



### Punum Ladder method

"Change in QoL in the measured domain"

Sæt vægtskilt et niveau på det trin i sig selv som bedst beskriver din overordnede livskvalitet (tilfredshed eller glæde og lyst) tilsvarende til ... over den sidste uge.



$\leq -3$  = Important improvement

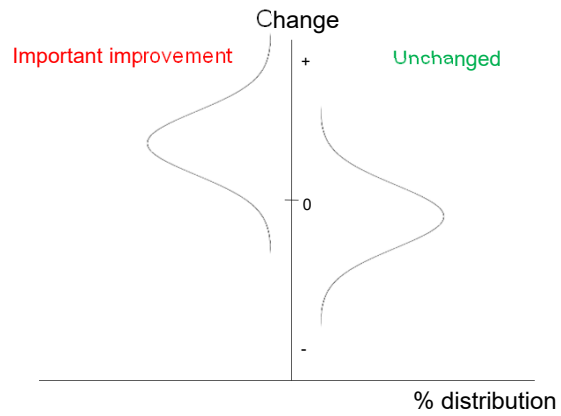
$-2, -1, 0, +1, +2$  = Unchanged





## Step 3

3. Draw the curves



SDU 

## Step 4

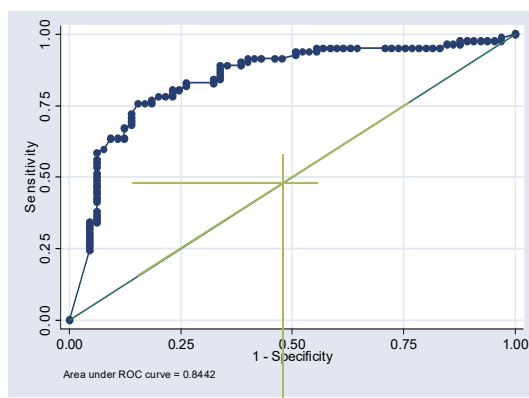
4. Calc. MIC from ROC analysis

Point in the top left corner:

- Highest true positive rate
- Lowest false positive rate



Minimal Important Change



DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS

SDU 

## Step 4

### 4. Calc. MIC – alternative method

- Calculate mean value of the domain in the Punum Ladder score ratings = -4, -3, +3, +4
- Minimal Important Change

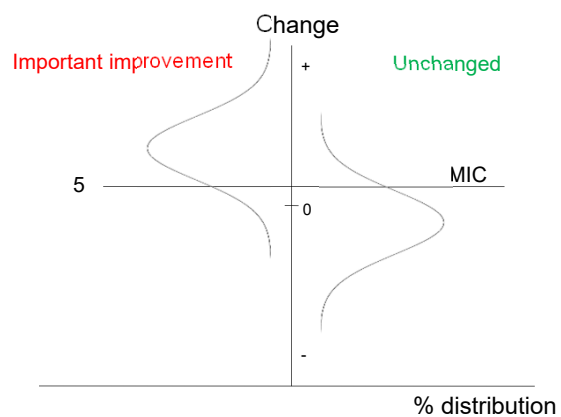
Fletcher et al, 2010

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS

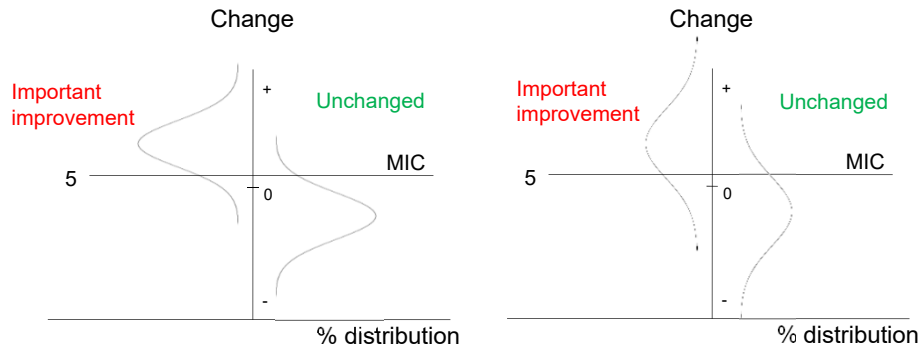


## Step 5

### 5. Insert MIC in the graph



## Interpretation of the graphs



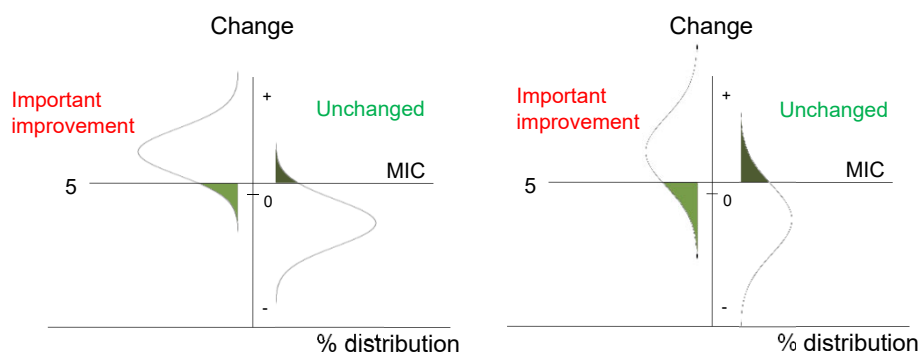
Assess the height of the curves:

Peaked curves → high correlation between anchor and score → High discrimination of the anchor

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



## Interpretation of the graphs



- Assess misclassification of the curves

■ = false positive (1 – specificity) according to cut-point

■ = false negative (1 – sensitivity) according to cut-point

DEPARTMENT OF SPORTS SCIENCE AND CLINICAL BIOMECHANICS



Questions?



SDU 